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DEPARTMENT OF JUSTICE

UNITED STATES DEPARTMENT OF JUSTICE

AUG 6 3 28 PM 1964

WASHINGTON, D. C.

REGISTRATION NO. 508

REGISTRATION SECTION

SUPPLEMENTAL REGISTRATION STATEMENT

Pursuant to Section 2 of the Foreign Agents
Registration Act of 1938, as AmendedFor Six Months Period Ending June 30, 1964
(Insert date)

1. (a) Name of Registrant.

Cleary, Gottlieb, Steen & Hamilton

(b) All other names used by Registrant during the period.

None

(c) Address of principal office.

224 Southern Building, Washington, D.C.
52 Wall Street, New York, New York

(d) Name of person or persons in charge of principal office.

See Annex 1.

3. If Registrant is a nonbusiness membership organization, state—

(a) Approximate number of members in the United States Inapplicable(b) Approximate number of members outside the United States Inapplicable

4. (a) All persons who became partners, officers, directors, and similar officials of Registrant during the period.

Name and address of official	Date connection began	Position, office, or nature of duties
James C. Blair	January 1, 1964	Partner
Walter S. Rothchild	January 1, 1964	Partner

(b) All persons who ceased to be partners, officers, directors, or similar officials of Registrant during the period.

Name and address of official	Date connection ended	Reason for ending connection
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None.

5. (a) All branches and local units of Registrant and all other component or affiliated groups or organizations which began to operate during the period.

Name and address of branch, unit,
group, or organization

Nature of connection with
Registrant

Name and address of person
in charge

None

- (b) All branches and local units of Registrant and all other component or affiliated groups or organizations which ceased to operate during the period.

Name of branch, unit, group, or organization

Reason operations ceased

None

6. All persons who at any time during the period were foreign principals of Registrant.

Name and principal address	Is person still a foreign principal of Registrant?	If not, give date connection ended
Gov't of the French Republic	Yes	
Conseil National du Patronat Francaise	Yes	
European Coal & Steel Community, Luxembourg	Yes	
European Economic Commission, Brussels	Yes	
European Atomic Energy Comm. Brussels	Yes	
Colonial Sugar Refining Co., Ltd. Sydney, Australia	Yes	
Embassy of Japan, Wash., D.C.	Yes	
Iran National Airlines, Tehran, Iran	Yes	

7. Describe fully all activities of Registrant during the period for or in the interests of each foreign principal named under item 6.

See Annex 2

8. Describe briefly all other businesses, occupations, and public activities in which Registrant engaged during the period.

Registrant is a New York partnership engaged in the general practice of law, representing corporate, governmental and private clients in New York, Washington, Paris & Brussels

10. Furnish the following information as to Registrant's receipts and expenditures during the period covered by this statement. The information may, if Registrant desires, be furnished for Registrant's latest semiannual fiscal period, provided the period covered is indicated and future statements are furnished on the same basis:

- (a) All amounts received during the period directly or indirectly from each foreign principal named under item 6, itemized as follows:

<i>Date funds received</i>	<i>Name of foreign principal from whom funds received</i>	<i>Purposes for which received</i>	<i>Amount received</i>
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See Annex 4

- (b) All amounts received during the period from other sources to be used directly or indirectly for or in the interests of any foreign principal named under item 6, itemized as follows:

<i>Date funds received</i>	<i>Name of person from whom received</i>	<i>Purposes for which received</i>	<i>Amount received</i>
May 22	Aquitaine Oil Corp.	Fee & Disbursements	\$3,662.12
June 4	Societe Nationale des Petroles d'Aquitaine	Fee & Disbursements	5,919.03
June 22	Auxirap Corp. of America	Fee & Disbursements	4,300.13

- (c) All expenditures made during the period directly or indirectly for or in the interests of each foreign principal named under item 6, itemized as follows:

<i>Date payment was made</i>	<i>Name of person to whom payment was made</i>	<i>Purposes for which payment was made</i>	<i>Amount of payment</i>
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See Annex 5.

¹ Include all amounts so received, whether received as compensation, loans, contributions, subscriptions, fees, dues, subsidies, or otherwise.

² Receipts from or payments to a person amounting to less than \$200 for the period may be combined with other like amounts, provided the source or disposition of the funds, as the case may be, is clearly indicated.

³ Where funds were received or paid, as the case may be, for various purposes, such purposes shall be listed in reasonable detail.

⁴ Show separately the amount received or paid, as the case may be, for each purpose listed under the preceding column.

⁵ Include all transfers of funds to any foreign principal.

11. (a) Speeches, lectures, talks, and radio broadcasts arranged or sponsored by Registrant or delivered by officials or employees of Registrant, during the period.

Name of person by
whom delivered

Number of speeches, lectures,
and talks delivered

Number of radio broadcasts
delivered

None for, on behalf, or in the interests of foreign principals.

- (b) Publications prepared or distributed by Registrant, or by others for Registrant, or in the preparation or distribution of which Registrant rendered any services or assistance, during the period. (Indicate each type of publication by an "X.")

- | | | |
|------------------------------|---|-----------------------------------|
| (1) Press releases | (8) Circulars | (15) Lantern slides |
| (2) News bulletins | (9) Form letters | (16) Still pictures |
| (3) Newspapers | (10) Reprints | (17) Posters |
| (4) Articles | (11) Copies of speeches, lec-
tures, talks, or radio
broadcasts | (18) Photographs |
| (5) Books | (12) Radio programs | (19) Charts |
| (6) Magazines | (13) Radio scripts | (20) Maps |
| (7) Pamphlets | (14) Moving pictures | (21) Other publications |

None for, on behalf, or in the interests of foreign principals.

- (c) Preparation and distribution of publications referred to in answer to (b) above.

Description of
publication

By whom written, edited,
or prepared

By whom printed, produced,
or published

By whom
distributed

Inapplicable.

- (d) Compliance with the filing, labeling, and reporting provisions of Section 4 of the Foreign Agents Registration Act of 1938, as amended, and Rules 400-408 thereunder.

- (1) Were copies or summaries of all communications and publications referred to in answer to (a) and (b) above filed with the Department of Justice and the Librarian of Congress? If not, explain why copies or summaries of any such communications and publications were not filed.

Inapplicable.

- (2) Were all such communications and publications labeled in accordance with Section 4 and Rules 400-408? If not, explain why any such communications and publications were not so labeled.

Inapplicable.

- (3) Were reports of the delivery, distribution, or other dissemination of all such communications and publications made to the Department of Justice in accordance with Section 4 and Rules 400-408? If not, explain why any such reports were omitted.

Inapplicable.

12. (a) Any changes during the period, not fully described above, in Registrant's affiliations, associations, or other connections with foreign governments, foreign political parties or officials or agencies thereof.

*Name of government, party, or official,
or agency thereof*

*Nature of changes during period in Registrant's
affiliations, associations, or other connections thereof*

None.

- (b) Any changes during the period in Registrant's pecuniary interest in or control over partnerships, corporations, associations, or other organizations or combinations of individuals.

*Name of organization or
combination*

*Nature of changes during period in
Registrant's ownership or other
pecuniary interest*

*Nature of changes during period in any
direction or control exercised
by Registrant*

None.

13. (a) Any changes during the period in the ownership of or supervision, direction or control over Registrant by any organization, group, or individual.

*Name of organization, group,
or individual*

*Nature of changes during period in ownership,
supervision, direction, or control*

None.

- (b) Any subsidy or other financial assistance received by Registrant during the period directly or indirectly from—

Any individual who is a citizen of, or resides in, a foreign country.

Any organization created in, or under the laws of, any foreign country or having its principal place of business in a foreign country.

Any foreign government or foreign political party, or any official or agency thereof.

*Name of person from whom subsidy or
financial assistance received*

*Nature and amount of subsidy or
financial assistance*

None.

14. File the following exhibits with this statement:

Short Form Registration Statement - File a Short Form Registration Statement, on the printed form provided therefor, for each of the following persons for whom a Short Form Registration Statement (formerly Exhibit A) has not previously been filed:

- (a) All partners, officers, directors, and similar officials of Registrant.
- (b) All employees or other individuals who during the period rendered any services or assistance to Registrant, with or without compensation, for or in the interests of any foreign principal named under item 6.

Exhibit B.—File a copy of any changes during the period in the agreement, arrangement, or authorization (or if not in writing a written description thereof) pursuant to which Registrant is acting for, or receiving funds from, each foreign principal named under item 6.

Exhibit C.—File an Exhibit C, on the printed form provided therefor, for each foreign principal named under item 6 for whom an Exhibit C has not previously been filed.

Exhibit D.—If Registrant is a nonbusiness organization, file a copy of any changes during the period in its charter, constitution, bylaws, or other instruments of organization.

Exhibit E.—File a copy of the agreement or arrangement (or if not in writing, a written description thereof) between the Registrant and each business firm or other organization named under item 11 (c), and copies of all changes during the period in similar contracts previously filed.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in Exhibit A insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)

John K. Mallory, Jr.
(Signature)
John K. Mallory, Jr.

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths, by a majority of those partners, officers, directors, or persons performing similar functions who are in the United States. If no such person is in the United States, the statement shall be signed and sworn to by the duly authorized representative of the Registrant.)

(Signature)

(Signature)

(Signature)

Subscribed and sworn to before me at Washington, D.C.

this 31st day of July, 1964

Mary J. Lintner
(Signature of notary or other officer)

My commission expires My Commission Expires Dec. 31, 1968, 1968

ANNEX 1

Name of Person or Persons in Charge of Principal Office.

George E. Cleary

Leo Gottlieb

Melvin C. Steen

Fowler Hamilton

Thomas J. Kiernan

Lyman M. Tondel, Jr.

John F. Ward

Robert C. Barnard

James G. Johnson, Jr.

Robert W. Wales

Richard H. Moore

John J. B. Shea

Jerome E. Hyman

Joseph Morgan

John K. Mallory, Jr.

William L. Lynch

Edmund H. Kerr

Mark W. Frawley, Jr.

George E. DeSipio

James W. Lamberton

Andre W.G. Newburg

Richard B. Webster

James C. Blair

Walter S. Rothchild

ANNEX 2

DESCRIPTION OF ACTIVITIES OF REGISTRANT FOR EACH FOREIGN PRINCIPAL NAMED UNDER ITEM 6

1. Government of the French Republic

Registrant acts as counsel to the French Financial Counselor, the French Commercial Counselor, and the French Controller, who are representatives of the Government of the French Republic. In this capacity, during the period partners, and associates of the registrant advised these officers with respect to American legislative proposals and administrative acts and proposals which affected French trade and French financial and commercial interests. Registrant also advised these officers with respect to contracts and business transactions.

Registrant also acts as counsel to the French Air, Naval and Military Attaches, who are officers of the Government of the French Republic. In this capacity, during the period partners and associates of the registrant advised these officers with respect to contracts in which these officers were interested and also with respect to various laws and ordinances affecting the operation of their mission.

During the period, registrant also rendered legal services to Societe Auxiliare de la Regie Autonome des Petroles and three related corporations, Societe Nationale des Petroles d'Aquitaine, Auxirap Corp. of America and Aquitaine Oil Corporation, in connection with a commercial investment in the United States.

2. Conseil National du Patronat Francais

Registrant acts as counsel to Conseil National du Patronat Francais. Registrant advised the Conseil's related association, Comite France Actuelle with respect to legal matters relating to letters, contracts, leases, and the publication of the newsletter, "France Actuelle."

3. European Coal and Steel Community

Registrant acts as counsel to the High Authority of the European Coal and Steel Community. In this capacity, during the period partners and associates of the registrant advised members and staff of the High Authority, as well as other officials of the European Coal and Steel Community, with respect to American legislative and administrative acts and proposals affecting trade between Europe and the United States. Also during the period, registrant furnished general legal advice to the United States Information Office of the Community with respect to ordinary legal matters.

4. European Economic Community Commission

Registrant acts as counsel to the Commission of the European Economic Community. In this capacity, during the period partners and associates of the registrant advised members and staff of the Commission, as well as other officials of the Commission, with respect to American legislative and administrative acts and proposals affecting trade

ANNEX 2 (CONT'D)

between Europe and the United States, private investment abroad, financing, and other subjects of interest. Also during the period, registrant furnished general legal advice to the United States Information Office of the Community with respect to ordinary legal matters.

5. European Atomic Energy Community Commission

Registrant acts as counsel to the Commission of the European Atomic Energy Community. In this capacity, during the period partners and associates of the registrant advised members and staff of the Commission, as well as the Community's representative in the United States with respect to tax matters and to American legislative and administrative acts and proposals affecting the European atomic energy program and the Community's joint programs with the United States. Also during the period, registrant furnished general legal advice to the United States Information Office of the Commission, with respect to ordinary legal matters such as contracts and leases.

6. Colonial Sugar Refining Company, Ltd.

Registrant acts as counsel to Colonial Sugar Refining Co., Ltd. In this capacity, during the period partners and associates of the registrant advised the company with respect to legal problems involving the Sugar Act and its administration, as well as with respect to American legislative and administrative acts and proposals affecting the United States sugar market.

7. Embassy of Japan

Registrant prepared for the Embassy research reports on legal and related problems with respect to investment techniques, incentives and obstacles as applied within the European Common Market countries, including patents, licensing and other arrangements.

8. Iran National Airlines Corp.

During the period partners and associates of the registrant acted as counsel for this corporation in preparing a suit for filing in the United States Court of Claims.

ANNEX 3

Name and Address of Employee or Other Individual	Nature of any changes during period in activi- ties for registrant or its foreign principals	Has connec- tion with registrant ended?
Lyman M. Tondel 52 Wall Street, New York 5, N.Y.	None	No
Robert C. Barnard 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5, D.C.	None	No
James G. Johnson, Jr. 52 Wall Street, New York 5, N.Y.	None	No
Robert W. Wales 52 Wall Street, New York 5, N.Y.	None	No
John K. Mallory, Jr. 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5, D.C.	None	No
Edmund H. Kerr 52 Wall Street, New York 5, N.Y.	None	No
Jan M.Z. Kaczmarek 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5,D.C.	None	No
Robert Michael Duncan 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5,D.C.	None	No
Morton M. Winston 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5,D.C.	None	No
George V. Egge, Jr. 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5, D.C.	None	No
Roland S. Homet, Jr. 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5, D.C.	None	No
Dudley H. Chapman 52 Wall Street, New York 5, New York	Same	No
Manley O. Hudson 52 Wall Street, New York 5, New York	Same	No
Ernest C. Steefel 52 Wall Street, New York 5, New York	None	Yes
Clifford J. Hynning 1903-5 N. Street, N.W. Washington 6, D.C.	None	Yes

ANNEX 3 (CONT'D)

Melvin C. Steen 52 Wall Street, New York 5, N.Y.	Rendered no services on behalf of foreign principal during the period.	No
Thomas J. Kiernan 52 Wall Street, New York 5, N.Y.	Ditto	No
John F. Ward 52 Wall Street, New York 5, N.Y.	Ditto	No
James W. Lamberton 52 Wall Street, New York 5, N.Y.	Ditto	No
Walter S. Rothschild 52 Wall Street, New York 5, N.Y.	Ditto	No
Donald L. Morgan 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5, D.C.	Ditto	No
William Y. Sayad 52 Wall Street, New York 5, N.Y.	Ditto	No
Albert J. Beveridge, III 52 Wall Street, New York 5, N.Y. 224 Southern Building, Washington 5, D.C.	Ditto	No

ANNEX 4

<u>Date Funds Received</u>	<u>Name of foreign principal from whom funds received</u>	<u>Purposes for which received</u>	<u>Amount received</u>
June 29	French Government	Fee & Disbursements	\$ 2,001.80
May 1	Conseil National du Patronat	Fee & Disbursements	9,500.00
Jan. - June	Conseil National du Patronat	Disbursements	1,200.00
Feb. 25	European Coal & Steel Community	Fee & Disbursements	3,274.07
March 15	European Economic Commission	Fee & Disbursements	3,049.11
Feb. 25	European Atomic Energy Commission	Fee & Disbursements	4,551.61
Jan. 13	Colonial Sugar Refining Company	Fee & Disbursements	852.30
Feb. 7	Colonial Sugar Refining Company	Fee & Disbursements	1,876.31
Feb. 27	Colonial Sugar Refining Company	Fee & Disbursements	3,085.63
April 1	Colonial Sugar Refining Company	Fee & Disbursements	2,621.47
May 15	Colonial Sugar Refining Company	Fee & Disbursements	1,604.85
June 17	Colonial Sugar Refining Company	Fee & Disbursements	2,052.79
March 22	Embassy of Japan	Fee	3,500.00

ANNEX 5

<u>Date Payment was Made</u>	<u>Name of Person to Whom Payment was Made</u>	<u>Purpose for Which Payment was Made</u>	<u>Amount of Payment</u>
<u>FRENCH REPUBLIC</u>			
Jan. 1 June 30, '64	Various persons in Washington	Supplies, business services, trans- portation, postage, cables, tolls, etc.	\$ 61.07
<u>SOCIETE NATIONALE DES PETROLES D' AQUITAINE & OTHERS</u>			
June 30, '64	Various persons in N.Y.	Supplies, business Services, trans- portation, postage, cables, tolls, etc.	1,078.44
March 12, '64	Empire Trust Company	Documentary stamps	500.00
April 3, '64	Corporation Trust Company	Services	439.47
<u>CONSEIL NATIONAL DU PATRONAT</u>			
Jan. 1 June 30, '64	Various persons in Washington	Supplies, business services, trans- portation, postage, cables, tolls, etc.	39.45
June 30, '64	Smoot Sand & Gravel Co. Washington, D.C.	Rent, electricity (Southern Bldg.)	1,200.00
<u>EUROPEAN COAL & STEEL COMMUNITY</u>			
June 30, '64	Various persons in N.Y. and Washington	Supplies, business services, trans- portation, postage, cables, tolls, etc.	104.14
<u>EUROPEAN ECONOMIC COMMISSION</u>			
June 30, '64	Various persons in N.Y. and Washington	Supplies, business services, trans- portation, postage, cables, tolls, etc.	48.17
<u>EUROPEAN ATOMIC ENERGY COMMISSION</u>			
June 30, '64	Various persons in Washington	Supplies, business services, trans- portation, postage, cables, tolls, etc.	55.42

ANNEX 5 (CONT'D)

Date Payment was Made	Name of Person to Whom Payment was Made	Purpose for Which Payment was Made	Amount of Payment
	<u>COLONIAL SUGAR REFINING CO., LTD.</u>		
Jan 1 June 30, '64	Various persons in N.Y. and Washington	Supplies, business services, trans- portation, postage, cables, tolls, etc.	431.39
June 30, '64	American Cable & Radio Washington, D.C.	Cables	500.77
June 30, '64	Chesapeake & Potomac Telephone Co., Washington, D.C.	Tolls	330.01
	<u>EMBASSY OF JAPAN</u>		
June 30, '64	Various persons in N.Y. and Washington, D.C.	Supplies, business services, trans- portation, postage, cables, tolls, etc.	757.58
March 25, '64	Ernest Steefel	Professional Services	600.00